

Company	Reason for Exclusion	Country	Excluded since
ABJA Investment Co. Pte Ltd.	CWR Criteria Breached and Thermal Coal Criteria Breached	SINGAPORE	2021 Q1
Aboitiz Equity Ventures Inc.	Thermal Coal Criteria Breached	PHILIPPINES	2020 Q4
Aboitiz Power Corp.	Thermal Coal Criteria Breached	PHILIPPINES	2021 Q1
Abu Dhabi Commercial Bank	Country criteria breached	UAE	2023 Q3
Abu Dhabi National Energy Co. PJSC	Country criteria breached and Thermal Coal Criteria Breached	UAE	2023 Q3
Abu Dhabi National Oil Co for Distribution PJSC	Country criteria breached	UAE	2023 Q3
Abu Dhabi Ports Co. PJSC	Country criteria breached	UAE	2023 Q3
Academy Sports & Outdoors Inc	Small Arms Criteria Breached	UNITED STATES	2021 Q4
Academy, Ltd.	Small Arms Criteria Breached	UNITED STATES	2021 Q3
Adani Electricity Mumbai Ltd.	Thermal Coal Criteria Breached	INDIA	2020 Q3
ADANI POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Adani Transmission Ltd	Thermal Coal Criteria Breached	INDIA	2020 Q3
ADARO ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Advantage Energy Ltd	Shale energy Criteria Breached	CANADA	2021 Q4
AECC Aviation Power Co Ltd	Country criteria breached	CHINA	2023 Q3
Aerojet Rocketdyne Holdings Inc	Controversial Weapons Criteria Breached	UNITED STATES	2017 Q4
AES Andes SA	Thermal Coal Criteria Breached	CHILE	2022 Q1
Aethon United BR LP	Shale energy Criteria Breached;	UNITED STATES	2024 Q4
AEV International Pte Ltd.	Thermal Coal Criteria Breached	SINGAPORE	2020 Q4
Africa Finance Corp.	Country criteria breached	SNAT	2023 Q3
Afrimat Ltd.	Thermal Coal Criteria Breached	SOUTH AFRICA	2024 Q3
AGL ENERGY LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
AGRITRADE RESOURCES LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Air China Ltd	Country criteria breached	CHINA	2023 Q3
Aksa Akrilik Kimya Sanayi AS	Thermal Coal Criteria Breached	TURKEY	2020 Q4
Alarko Holding A.S.	Thermal Coal Criteria Breached	TURKEY	2019 Q1
Alberta Energy Co. Ltd.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Albioma SA	Thermal Coal Criteria Breached	FRANCE	2021 Q1
Alcoa Corp	Thermal Coal Criteria Breached	UNITED STATES	2022 Q1
ALLETE Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
ALLIANCE HOLDINGS GP LP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Alliance Resource Operating Partners LP	Thermal Coal Criteria Breached	UNITED STATES	2024 Q2
ALLIANCE RESOURCE PARTNERS	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Alliant Energy Corp.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Altius Minerals Corp.	Thermal Coal Criteria Breached;	CANADA	2024 Q4
ALTRIA GROUP, INC.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
Aluminum Corporation of China Ltd	Thermal Coal Criteria Breached	CHINA	2019 Q2
Ameren Corp.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
AMERICAN ELECTRIC POWER	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
American Outdoor Brands Corporation	Small Arms Criteria Breached	UNITED STATES	2018 Q4
American Outdoor Brands Inc.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Amipeace Limited	Country criteria breached	BRITISH VIRGIN	2023 Q3
AMMO Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Aneka Tambang Tbk	Country criteria breached	INDONESIA	2023 Q3
Anhui GreatWall Military Industry Co. Ltd.	Controversial Weapons (AFM)	CHINA	2020 Q1
Anhui Gujing Distillery Co., Ltd.	Country criteria breached	CHINA	2023 Q4
Antero Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Apache Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Apache Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Arabian Internet & Communications Services Co	Country criteria breached	SAUDI ARABIA	2023 Q3
ARC Resources Ltd.	Shale energy Criteria Breached	CANADA	2020 Q4
Arch Coal Inc	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Aryt Industries Ltd	Controversial Weapons (AFM)	ISRAEL	2013 Q2
Ascent Resources Utica Holdings LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q2
Aselsan Elektronik Sanayi ve Ticaret Anonim Sirketi	Controversial Weapons Criteria Breached & Country criteria breached	TURKEY	2017 Q4
Asia Optical Co Inc	Small Arms Criteria Breached	TAIWAN	2022 Q2
Athabasca Oil Corp	Oil sands Criteria Breached	CANADA	2021 Q1
ATLAS RESOURCES TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
AVIC International Finance & Investment Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
AviChina Industry & Technology Co Ltd	Country criteria breached	CHINA	2023 Q3
Avista Corp.	Thermal Coal Criteria Breached	UNITED STATES	2024 Q3
B.A.T Capital Corporation	Tobacco Criteria Breached	UNITED STATES	2017 Q4
B.A.T. International Finance p.l.c.	Tobacco Criteria Breached	BRITAIN	2017 Q4
B.A.T. Netherlands Finance BV	Tobacco Criteria Breached	CHINA	2017 Q4
BAE Systems plc	Controversial Weapons Criteria Breached	BRITAIN	2017 Q4
Baidu, Inc.	Global compact criteria breached	CAYMAN ISLANDS	2022 Q4
Bank of Baroda	Country criteria breached	INDIA	2023 Q3
Bank of Baroda London Branch	Country criteria breached	INDIA	2023 Q4
Bank of China	Country criteria breached	CHINA	2023 Q3
Bank of China (Dubai) Branch	Country criteria breached	CHINA	2023 Q4
Bank of China (Dubai) Branch	Country criteria breached	CHINA	2023 Q4
Bank of China (Hong Kong) Ltd.	Country criteria breached	HONG KONG	2023 Q3
Bank of China (Hong Kong) Ltd.	Country criteria breached	CHINA	2023 Q3
Bank of China Ltd.	Country criteria breached	CHINA	2023 Q3
Bank of China Ltd. (Beijing Branch)	Country criteria breached	CHINA	2023 Q4
BANK OF CHINA Ltd. (Frankfurt Branch)	Country criteria breached	CHINA	2023 Q3

Bank of China Ltd. (Johannesburg Branch)	Country criteria breached	CHINA	2023 Q4
Bank of China Ltd. (London Branch)	Country criteria breached	CHINA	2023 Q4
Bank of China Ltd. (Macau Branch)	Country criteria breached	CHINA	2023 Q3
Bank of China Ltd. (Singapore Branch)	Country criteria breached	CHINA	2023 Q3
Bank of China Ltd. (Sydney Branch)	Country criteria breached	CHINA	2023 Q4
Bank of China Ltd. /Luxembourg Branch/	Country criteria breached	BRITISH VIRGIN	2023 Q3
Bank Rakyat Indonesia Persero Tbk PT	Country criteria breached	INDONESIA	2023 Q3
Banpu Power Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q2
BANPU PUBLIC CO LTD	Thermal Coal Criteria Breached	THAILAND	2018 Q4
Baoshan Iron & Steel Co Ltd	Country criteria breached	CHINA	2023 Q3
BARAMULTI SUKSESSARANA TBK P	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Barrick Gold Corp.	Thermal Coal Criteria Breached	CANADA	2024 Q3
BAYAN RESOURCES GROUP	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Baytex Energy Corp.	Shale energy Criteria Breached	CANADA	2024 Q3
BBMG Corp	Country criteria breached	CHINA	2023 Q3
Beijing Capital International Airport Co., Ltd.	Country criteria breached	CHINA	2023 Q4
Beijing Enterprises Holdings Ltd	Country criteria breached	HONG KONG	2023 Q3
Beijing Gas Singapore Capital Corp.	Country criteria breached	INDIA	2023 Q3
BEIJING HAOHUA ENERGY RESO-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Beijing State-owned Capital Operation & Management Center Invt	Country criteria breached	UNITED STATES	2023 Q3
Beijing Tiantan Biological Products Corp. Ltd.	Country Criteria Breached	CHINA	2024 Q2
Beijing TongRenTang Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Beijing Yanjing Brewery Co Ltd	Country criteria breached	CHINA	2023 Q3
Berkshire Hathaway Finance Corp.	Thermal Coal Criteria Breached	UNITED STATES	2024 Q3
Berkshire Hathaway, Inc.	Thermal Coal Criteria Breached	UNITED STATES	2024 Q3
Berry Corp.	Shale energy Criteria Breached	UNITED STATES	2024 Q3
Berry Petroleum Co. LLC	Shale energy Criteria Breached	UNITED STATES	2024 Q3
Bharat Dynamics Ltd	CWR Criteria Breached and Global compact criteria breached	INDIA	2022 Q4
Bharat Electronics Ltd	Small Arms Criteria Breached & Country criteria breached	INDIA	2021 Q4
Bharat Heavy Electricals Ltd.	Country Criteria Breached	INDIA	2024 Q3
Bharat Petroleum Corp. Ltd.	Country criteria breached	UNITED STATES	2023 Q3
Birchcliff Energy Ltd	Shale energy Criteria Breached	CANADA	2021 Q1
BISICHI MINING PLC	Thermal Coal Criteria Breached	BRITAIN	2018 Q4
Black Hills Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
BOC Aviation (USA) Corp.	Country Criteria Breached	UNITED STATES	2024 Q2
BOC Aviation Ltd.	Country Criteria Breached	SINGAPORE	2024 Q2
BOC Hong Kong Holdings Ltd	Country criteria breached	HONG KONG	2023 Q3
Bonanza Creek Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Bonanza Creek Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
BPRL International Singapore Pte Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
Brigham Minerals Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Bright Food Singapore Holdings Pte Ltd.	Country criteria breached	SINGAPORE	2023 Q3
British American Tobacco (Malaysia) Berhad	Tobacco Criteria Breached	MALAYSIA	2017 Q4
British American Tobacco Holdings (The Netherlands) B.V.	Tobacco Criteria Breached	BRITAIN	2017 Q4
British American Tobacco plc	Tobacco Criteria Breached	BRITAIN	2017 Q4
BUKIT ASAM TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
BUMI RESOURCES TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Cabot Oil & Gas Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Cadre Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q4
Callon Petroleum Co	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Çan2 Termik AS	Thermal Coal Criteria Breached	TURKEY	2023 Q4
Canadian Natural Resources Ltd.	Oil sands Criteria Breached	CANADA	2021 Q1
Canadian Tire Corporation Limited	Small Arms Criteria Breached	CANADA	2018 Q4
Canara Bank	Country Criteria Breached	INDIA	2024 Q3
CASTLE PEAK PWR FIN CO	Thermal Coal Criteria Breached	CZECH	2018 Q4
CCBL (Cayman) 1 Corp. Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
CCCI Treasure Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
CDBL Funding 1	Country criteria breached	CAYMAN ISLANDS	2023 Q4
CDBL Funding 2	Country criteria breached	CAYMAN ISLANDS	2023 Q3
CELESTIAL MILES LTD.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2019 Q3
Cenovus Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
CenterPoint Energy Inc	Thermal Coal Criteria Breached	UNITED STATES	2019 Q4
Central Plaza Development Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
CESC LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
CEZ as	Thermal Coal Criteria Breached	CZECH	2021 Q1
CGN New Energy Holdings Co., Ltd.	Thermal Coal Criteria Breached	HONG KONG	2024 Q3
CGN Power Co Ltd	Country criteria breached	CHINA	2023 Q3
CGNPC International Ltd.	Country criteria breached	LUXEMBOURG	2023 Q3
Chalco Hong Kong Investment Co. Ltd.	Thermal Coal Criteria breached	BRITISH VIRGIN	2020 Q1
Charming Light Investments Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
Chesapeake Energy Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
China Aerospace International Holdings Limited	Controversial Weapons Criteria Breached	HONG KONG	2017 Q4
China CITIC Bank Corp Ltd	Country criteria breached	CHINA	2023 Q3
China Citic Bank Corp. Ltd. (London Branch)	Country criteria breached	HONG KONG	2023 Q4
China CITIC Bank International Ltd.	Country criteria breached	HONG KONG	2023 Q3
CHINA COAL ENERGY CO-H	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2018 Q4
China Communications Services Corp Ltd	Country criteria breached	CHINA	2023 Q3

China Construction Bank (Dubai) limited	Country Criteria Breached	CHINA	2024 Q3
China Construction Bank (Europe) SA	Country criteria breached	HONG KONG	2023 Q3
China Construction Bank Corp.	Country criteria breached	CHINA	2023 Q3
China Construction Bank Corp. (Hong Kong)	Country criteria breached	CHINA	2023 Q3
China Construction Bank Corp. (London Branch)	Country criteria breached	CHINA	2023 Q3
China Construction Bank Corp. (Macau Branch)	Country criteria breached	CHINA	2023 Q3
China Construction Bank Corp. (Sydney)	Country criteria breached	CHINA	2023 Q4
China Development Bank Financial Leasing Co. Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
China Eastern Airlines Corp Ltd	Country criteria breached	CHINA	2023 Q3
China Energy Engineering Corp. Ltd.	Thermal Coal Criteria Breached	CHINA	2023 Q4
China Film Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
China Galaxy Securities Co Ltd	Country criteria breached	CHINA	2023 Q3
China Great Wall International Holdings III Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
China Great Wall International Holdings IV Limited	Country criteria breached	BRITISH VIRGIN	2023 Q4
China Great Wall International Holdings V Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
China Great Wall International Holdings VI Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Great Wall Securities Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
China International Capital Corp. Ltd.	Country Criteria Breached	CHINA	2024 Q2
China Life Insurance Co Ltd	Country criteria breached	CHINA	2023 Q3
China Literature Ltd	Global compact criteria breached	CHINA	2022 Q4
China Longyuan Power Group Corp Ltd	Country criteria breached	CHINA	2023 Q3
China Meheco Co Ltd	Country criteria breached	CHINA	2023 Q3
China Merchants Energy Shipping Co Ltd	Country criteria breached	CHINA	2023 Q3
China Merchants Expressway Network Technology Hlds Co., Ltd.	Country Criteria Breached	CHINA	2024 Q3
China Merchants Securities Co Ltd	Country criteria breached	CHINA	2023 Q3
China Merchants Shekou Industrial Zone Holdings Co Ltd	Country criteria breached	CHINA	2023 Q3
China National Nuclear Power Co Ltd	Country criteria breached	CHINA	2023 Q3
China Northern Rare Earth (Group) High-Tech Co Ltd	Global compact criteria breached	CHINA	2012 Q4
China Oilfield Services Ltd.	Country Criteria Breached	CHINA	2024 Q2
China Overseas Finance (Cayman) III Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Overseas Finance (Cayman) V Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q4
China Overseas Finance (Cayman) VI Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Overseas Finance (Cayman) VII Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Overseas Finance (Cayman) VIII Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Overseas Land & Investment Ltd	Country criteria breached	HONG KONG	2023 Q3
China Overseas Property Holdings Ltd	Country criteria breached	HONG KONG	2023 Q3
CHINA PETROLEUM & CHEM-ADR	Global compact criteria breached	CHINA	2007 Q4
CHINA POWER INTERNATIONAL	Thermal Coal Criteria Breached & Country criteria breached	HONG KONG	2018 Q4
China Railway Group Ltd	Country criteria breached	CHINA	2023 Q3
China Railway Signal & Communication Corp. Ltd.	Country criteria breached	CHINA	2024 Q1
China Railway Xunjie Co. Ltd.	Country criteria breached	CHINA	2023 Q3
China Resources Beer Holdings Co Ltd	Country criteria breached	BRITISH VIRGIN	2023 Q3
China Resources Cement Holdings Ltd	Country criteria breached	HONG KONG	2023 Q3
China Resources Gas Group Ltd	Country criteria breached	HONG KONG	2023 Q3
China Resources Land Limited	Country criteria breached	HONG KONG	2023 Q3
China Resources Microelectronics Ltd	Country criteria breached	CAYMAN ISLANDS	2023 Q3
China Resources Mixc Lifestyle Services Ltd	Country criteria breached	CHINA	2023 Q3
China Resources Pharmaceutical Group Ltd	Country criteria breached	CHINA	2023 Q3
CHINA RESOURCES POWER HOLDIN	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2023 Q3
China Resources Sanjiu Medical & Pharmaceutical Co., Ltd.	Country Criteria Breached	HONG KONG	2018 Q4
China Shenhua Energy Co Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2024 Q2
China Shenhua Overseas Capital Co., Ltd.	Country criteria breached	CHINA	2018 Q4
China Southern Airlines Co Ltd	Country criteria breached	CAYMAN ISLANDS	2023 Q4
China Spacesat Co Ltd	Controversial Weapons Criteria Breached	CHINA	2023 Q3
China State Construction Engineering Corp Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2023 Q3
CHINA STATE CONSTRUCTION FINANCE (CAYMAN) I LTD.	Thermal Coal Criteria Breached	CHINA	2023 Q3
China State Construction Finance (Cayman) II Ltd.	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2019 Q2
China State Construction Finance (Cayman) III Ltd.	Country criteria breached	CAYMAN ISLANDS	2019 Q2
China State Construction International Holdings Ltd	Thermal Coal Criteria Breached & Country criteria breached	HONG KONG	2023 Q4
China Taiping Insurance Holdings Co., Ltd.	Country criteria breached	HONG KONG	2019 Q2
China Three Gorges Renewables Group Co Ltd	Country criteria breached	HONG KONG	2023 Q3
China Tourism Group Duty Free Corp Ltd	Country criteria breached	CHINA	2023 Q3
China XD Electric Co., Ltd.	Country criteria breached	CHINA	2023 Q3
China Yangtze Power Co Ltd	Country criteria breached	CHINA	2023 Q4
Chong Hing Bank Limited	Country criteria breached	CHINA	2023 Q4
Chord Energy Corp. (formerly known as Oasis Petroleum Inc.)	Shale energy Criteria Breached	HONG KONG	2023 Q4
Chord Energy Corp. (formerly known as Oasis Petroleum Inc.)	Shale energy Criteria Breached	UNITED STATES	2021 Q4
CICC Hong Kong Finance 2016 MTN Ltd.	Country Criteria Breached	UNITED STATES	2021 Q4
Ciech S.A.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2024 Q3
Cimarex Energy Co	Shale energy Criteria Breached	POLAND	2020 Q4
CITGO Holding, Inc.	Country criteria breached	UNITED STATES	2021 Q1
CITGO Petroleum Corp.	Country criteria breached	UNITED STATES	2023 Q3
CITIC Limited	Country criteria breached	UNITED STATES	2023 Q3
Citic Pacific Special Steel Group Co., Ltd.	Thermal Coal Criteria Breached	UNITED STATES	2023 Q3
Citic Telecom International Finance Ltd.	Country Criteria Breached	HONG KONG	2018 Q4
Clarus Corp	Country criteria breached	HONG KONG	2018 Q4
CLOUD PEAK ENERGY INC	Small Arms Criteria Breached	CHINA	2024 Q3
	Thermal Coal Criteria Breached	BRITISH VIRGIN	2023 Q4
		UNITED STATES	2022 Q2
		UNITED STATES	2018 Q4

CLP HOLDINGS LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
CLP Power HK Finance Ltd.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2018 Q4
CLP POWER HK FINANCING	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
CMS Energy Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
CNAC (HK) Finbridge Co. Ltd.	Country criteria breached	UAE	2023 Q3
CNOOC Finance (2014) ULC	Country criteria breached	CANADA	2023 Q4
CNOOC Energy Technology and Services Limited	Country Criteria Breached	CHINA	2024 Q3
CNOOC Finance (2003) Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
CNOOC Finance (2011) Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
CNOOC Finance (2012) Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
CNOOC Finance (2013) Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
Cnooc Finance (2015) Australia Pty Ltd.	Country criteria breached	UNITED STATES	2023 Q4
CNOOC Finance (2015) U.S.A. LLC	Country criteria breached	UNITED STATES	2023 Q4
CNOOC Petroleum North America ULC	Country criteria breached and Oil sands Criteria Breached	CANADA	2023 Q4
CNPC (HK) Overseas Capital Ltd.	Global compact criteria breached	BRITISH VIRGIN	2007 Q4
CNPC Capital Co. Ltd.	Country criteria breached	CHINA	2023 Q4
CNPC General Capital Limited	Global compact criteria breached	BRITISH VIRGIN	2007 Q4
CNX Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
COAL INDIA LTD	Thermal Coal Criteria Breached & Country criteria breached	INDIA	2018 Q4
Coastal Emerald Ltd.	Country criteria breached	SINGAPORE	2023 Q3
Colgate Energy Partners III LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Colt CZ Group SE	Small Arms Criteria Breached	CZECH	Q2 2023
Compagnie Financière Richemont SA	Small Arms Criteria Breached	SWITZERLAND	2018 Q4
Compass Diversified Holdings	Small Arms Criteria Breached	UNITED STATES	2022 Q4
Comstock Escrow Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Comstock Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Concho Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ConocoPhillips	Shale energy Criteria Breached	UNITED STATES	2021 Q1
CONSOL Energy Inc.	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Container Corp Of India Ltd	Country criteria breached	INDIA	2023 Q3
Contango Oil & Gas Co	Shale energy Criteria Breached	UNITED STATES	2021 Q4
ContextLogic Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Continental Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
COSCO SHIPPING Development Co Ltd	Country criteria breached	CHINA	2023 Q3
COSCO SHIPPING Energy Transportation Co Ltd	Country criteria breached	CHINA	2023 Q3
COSL Singapore Capital Ltd.	Country Criteria Breached	SINGAPORE	2024 Q2
Covey Park Energy LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
CPFL Energia SA	Country criteria breached	BRAZIL	2023 Q3
CRCC Chengan Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q3
CRCC Hean Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q4
Crescent Energy Finance LLC	Thermal Coal Criteria Breached	UNITED STATES	2024 Q1
Crescent Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q2
Crescent Point Energy Corp.	Shale energy Criteria Breached	CANADA	2021 Q1
Crew Energy Inc	Shale energy Criteria Breached	CANADA	2022 Q4
CrownRock, L.P.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
CSC Financial Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
CSCEC Finance (Cayman) II Ltd.	Thermal Coal Criteria Breached & Country criteria breached	BRITISH VIRGIN	2023 Q3
CSCIF Asia Ltd.	Country Criteria Breached	BRITISH VIRGIN	2024 Q2
CSI MTN Ltd.	Country Criteria Breached	BRITISH VIRGIN	2024 Q3
CSSC Capital 2015 Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
DAIKIN INDUSTRIES Ltd.	Controversial Weapons Criteria Breached	JAPAN	2023 Q4
Daqin Railway Co Ltd	Country criteria breached	CHINA	2023 Q3
Datang International Power Generation Co Ltd	Thermal Coal Criteria Breached	CHINA	2018 Q4
DATONG COAL INDUSTRY CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Devon Energy Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Diamondback Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
DIAN SWASTATIKA SENTOSA TBK	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Dick's Sporting Goods Inc	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Diversified Energy Co. Plc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
DMCI Holdings Inc.	Thermal Coal Criteria Breached	PHILIPPINES	2019 Q2
Dominion Energy, Inc.	Thermal Coal Criteria Breached;	UNITED STATES	2024 Q4
Dongfang Electric Corp. Ltd.	Country Criteria Breached	CHINA	2024 Q2
Dongfeng Motor (Hong Kong) International Co. Ltd.	Country criteria breached	UNITED STATES	2023 Q3
Dongfeng Motor Group Co Ltd	Country criteria breached	CHINA	2023 Q3
Dongxing Securities Co Ltd	Country criteria breached	CHINA	2023 Q3
DP World Ltd.	Country criteria breached	UNITED STATES	2023 Q3
Drax Finco Plc	Thermal Coal Criteria Breached	UNITED STATES	2023 Q4
Drax Group Plc	Thermal Coal Criteria Breached	BRITAIN	2023 Q4
DTE ENERGY COMPANY	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
DTEK Finance Plc	Thermal Coal Criteria Breached	SNAT	2019 Q2
Duke Energy Corp.	Thermal Coal Criteria Breached	UAE	2024 Q3
Earthstone Energy Holdings LLC	Shale energy Criteria Breached	UNITED STATES	2024 Q2
Earthstone Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
Eastern & Southern African Trade & Development Bank	Country criteria breached	CAYMAN ISLANDS	2023 Q3
Eastern Tobacco	Tobacco Criteria Breached	EGYPT	2018 Q3
EDP - Energias do Brasil SA	Thermal Coal Criteria Breached	BRAZIL	2021 Q1
EI Sukuk Co. Ltd.	Country criteria breached	UAE	2023 Q3

El Sewedy Electric Co	Global compact criteria breached	EGYPT	2020 Q4
Electric Power Development Co. Ltd.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
Electricity Generating Public Co., Ltd.	Thermal Coal Criteria Breached	THAILAND	2024 Q3
Elm Co	Country criteria breached	SAUDI ARABIA	2023 Q3
Emera, Inc.	Thermal Coal Criteria Breached	CANADA	2024 Q3
Emirates NBD Bank (P.J.S.C)	Country criteria breached	UAE	2023 Q3
Emirates SembCorp Water & Power Co. PJSC	Country criteria breached and Thermal Coal Criteria Breached	CHILE	2023 Q4
Emirates Telecommunications Group Co. PJSC	Country criteria breached	GERMANY	2023 Q3
EMPRESA ELEC GUACOLDA	Thermal Coal Criteria Breached	CHILE	2018 Q4
EMPRESA ELECTRICA ANGAMO	Thermal Coal Criteria Breached	CHILE	2018 Q4
EnBW Energie Baden Württemberg AG	Thermal Coal Criteria Breached	GERMANY	2021 Q1
ENBW International Finance BV	Thermal Coal Criteria Breached	NETHERLANDS	2021 Q1
Endeavor Energy Resources, LP	Shale energy Criteria Breached	UNITED STATES	2021 Q1
ENEA SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Energia SA	Thermal Coal Criteria Breached	POLAND	2021 Q1
ENERGY EARTH PCL	Thermal Coal Criteria Breached	THAILAND	2018 Q4
Energy Transfer Equity, L.P.	Global compact criteria breached	UNITED STATES	2017 Q3
Energy Transfer LP	Global compact criteria breached	UNITED STATES	2019 Q1
Energy Transfer Operating LP	Global compact criteria breached	UNITED STATES	2020 Q3
Enerplus Corp.	Shale energy Criteria Breached	CANADA	2021 Q1
Eneva SA	Thermal Coal Criteria Breached	BRAZIL	2024 Q3
Engie Energía Chile SA	Thermal Coal Criteria Breached	CZECH	2018 Q4
Engro Corp. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2021 Q3
EOG Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
EP ENERGY AS	Thermal Coal Criteria Breached	NETHERLANDS	2018 Q4
EP Infrastructure AS	Thermal Coal Criteria Breached	CZECH	2019 Q1
EPH Financing International as	Thermal Coal Criteria Breached	IRELAND	2024 Q2
EQT Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
EQUATE Petrochemical BV	Country criteria breached	SOUTH AFRICA	2023 Q4
ESB Finance DAC	Thermal Coal Criteria Breached	IRELAND	2024 Q3
ESKOM HOLDINGS SOC LTD	Thermal Coal Criteria Breached	TURKEY	2018 Q4
ETERNA CAPITAL PTE LTD	Thermal Coal Criteria Breached	ISLE OF MAN	2018 Q4
Eushi Finance, Inc.	Thermal Coal Criteria Breached;	UNITED STATES	2024 Q4
Everdisplay Optronics (Shanghai) Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Evergny Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
EXPLOITASI ENERGI INDONESIA	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Extraction Oil & Gas Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q4
EXXARO RESOURCES LTD	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
Exxon Mobil Corp.	Oil sands Criteria Breached	BRITISH VIRGIN	2024 Q3
EZCORP Inc.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Fauji Fertilizer Co. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2020 Q4
FAW Jiefang Group Co Ltd	Country criteria breached	CHINA	2023 Q3
Fibabanka AS	Country criteria breached	BRITISH VIRGIN	2023 Q4
FirstCash Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
FirstCash, Inc.	Small Arms Criteria Breached	UNITED STATES	2020 Q4
FIRSTENERGY CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
FITA INTERNATIONAL LTD	Thermal Coal Criteria Breached	NETHERLANDS	2018 Q4
FORESIGHT ENERGY LP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Fortis, Inc.	Thermal Coal Criteria Breached	CANADA	2024 Q3
Franco-Nevada Corp.	Shale energy Criteria Breached	CANADA	2024 Q3
GAIL India Ltd	Country criteria breached	INDIA	2023 Q3
GD POWER DEVELOPMENT CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
General Dynamics Corporation	Controversial Weapons Criteria Breached	UNITED STATES	2017 Q4
GENTING BHD	Thermal Coal Criteria Breached	MALAYSIA	2018 Q4
GEO ENERGY RESOURCES LTD	Thermal Coal Criteria Breached	SINGAPORE	2018 Q4
Global Brass and Copper Holdings Inc.	Small Arms breached	UNITED STATES	2019 Q1
Global Power Synergy PCL	Thermal Coal Criteria Breached	THAILAND	2024 Q3
Godfrey Phillips India Limited	Tobacco Criteria Breached	INDIA	2017 Q4
GOHL CAPITAL LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
GOLDEN EAGLE ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Granite Ridge Resources, Inc.	Shale energy Criteria Breached	UNITED STATES	2024 Q1
Greenfire Resources Ltd.	Oil sands Criteria Breached	UNITED STATES	2024 Q2
GRG Banking Equipment Co Ltd	Country criteria breached	CHINA	2023 Q3
GS Holdings Corp.	Thermal Coal Criteria Breached	SOUTH KOREA	2024 Q3
GUANGDONG ELECTRIC POWER-B	Thermal Coal Criteria Breached	CHINA	2018 Q4
GUANGDONG INVESTMENT LTD	Thermal Coal Criteria Breached & Country criteria breached	HONG KONG	2018 Q4
Guanghui Energy Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2023 Q4
Guangzhou Automobile Group Co Ltd	Country criteria breached	CHINA	2023 Q3
GUANGZHOU DEVELOPMENT GRP-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Guangzhou Yuexiu Capital Holdings Group Co Ltd	Country criteria breached	CHINA	2023 Q3
GUJARAT MINERAL DEV CORP LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Gulf Keystone Petroleum Ltd	Shale energy Criteria Breached	BRITAIN	2022 Q4
Gulfport Energy Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Guojing Capital (BVI) Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
Guolian Securities Co., Ltd.	Country criteria breached	CHINA	2024 Q4
Haci Ömer Sabancı Holding AS	Thermal Coal Criteria Breached	TURKEY	2024 Q3
HALLADOR ENERGY CO	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4

Hangzhou Hikvision Digital Technology Co. Ltd.	Global compact criteria breached	CHINA	2020 Q1
Hangzhou Oxygen Plant Group Co Ltd	Country criteria breached	CHINA	2023 Q3
Haohua Chemical Science & Technology Corp. Ltd. Class A	Country criteria breached	CHINA	2023 Q3
HARUM ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
HEG LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Heilongjiang Agriculture Co Ltd	Country criteria breached	CHINA	2023 Q3
Henan Shenhuo Coal & Power Co Ltd	Thermal Coal Criteria Breached	CHINA	2022 Q4
Hengli Petrochemical Co., Ltd.	Thermal Coal Criteria Breached	CHINA	2024 Q3
Hess Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Hesteel Co Ltd	Country criteria breached	CHINA	2023 Q3
HighPeak Energy, Inc.	Shale energy Criteria Breached	UNITED STATES	2024 Q2
Hindustan Aeronautics Limited	Country criteria breached	INDIA	2023 Q3
Hindustan Petroleum Corp. Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
HK ELECTRIC INVESTMENTS -SS	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Hokkaido Electric Power Co. Inc.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
Hokuriku Electric Power Co.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
HONGKONG ELECTRIC FIN	Thermal Coal Criteria Breached	BRITAIN	2018 Q4
Hosken Consolidated Investments Ltd.	Thermal Coal Criteria Breached	SOUTH AFRICA	2024 Q3
Huadian Power International Corp Ltd	Thermal Coal Criteria Breached	CHINA	2018 Q4
Huaibei Mining Holdings Co Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2023 Q3
Huaneng Power International Inc.	Thermal Coal Criteria Breached	CHINA	2018 Q4
Huarong Finance 2017 Co. Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
Huarong Finance 2019 Co. Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
Huarong Finance II Co. Ltd.	Country criteria breached	BRITISH VIRGIN	2023 Q3
Huayu Automotive Systems Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Hubei Energy Group Co. Ltd.	Thermal Coal Criteria breached	CHINA	2020 Q1
Huizhou Desay SV Automotive Co., Ltd.	Country criteria breached	CHINA	2023 Q4
Husky Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
HUYA, Inc.	Global compact criteria breached	CHINA	2023 Q4
ICHOR COAL NV	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
Idacorp Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Imperial Brands Finance Netherlands BV	Tobacco Criteria Breached	BRITAIN	2022 Q1
Imperial Brands Finance PLC	Tobacco Criteria Breached	BRITAIN	2017 Q4
Imperial Brands PLC	Tobacco Criteria Breached	BRITAIN	2017 Q4
Imperial Oil Ltd	Oil sands Criteria Breached	CANADA	2021 Q1
India Cements Ltd.	Thermal Coal Criteria Breached	INDIA	2021 Q1
Indian Hotels Co. Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Indian Oil Corp Ltd	Country criteria breached	INDIA	2023 Q3
Indian Railway Catering & Tourism Corp Ltd	Country criteria breached	INDIA	2023 Q3
Indian Railway Finance Corp. Ltd.	Country criteria breached	INDIA	2023 Q3
Indigo Natural Resources LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
INDIKA ENERGY III PTE	Thermal Coal Criteria Breached	AZERBAIJAN	2018 Q4
INDIKA ENERGY TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
INDO ENERGY FINANCE II	Thermal Coal Criteria Breached	BRITISH VIRGIN	2018 Q4
INDO TAMBANGRAYA MEGAH TBK P	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Industries Qatar QSC	Country criteria breached	QATAR	2023 Q3
Inner Mongolia Dian Tou Energy Corp Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2022 Q4
Inner Mongolia Eerduosi Resources Co. Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q4
Inner Mongolia Junzheng Energy & Chemical Group Co. Ltd.	Thermal Coal Criteria Breached	CHINA	2019 Q4
INNER MONGOLIA MENGDIAN HU-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
INNER MONGOLIA YITAI COAL-B	Thermal Coal Criteria Breached	CHINA	2018 Q4
Inner Mongolian Baotou Steel Union Co Ltd	Global compact criteria breached & Country criteria breached	CHINA	2020 Q1
International Bank of the Republic of Azerbaijan	Country criteria breached	BRITISH VIRGIN	2023 Q4
iQIYI Inc	Global compact criteria breached	CHINA	2022 Q4
IRPC Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
ITC Limited	Tobacco Criteria Breached	INDIA	2017 Q4
ITOCHU Corp	Thermal Coal Criteria Breached	JAPAN	2022 Q4
Itochu Enex Co.Ltd.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
Jaiprakash Power Ventures Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q4
Japan Tobacco Inc.	Tobacco Criteria Breached	JAPAN	2017 Q4
Jiangsu Expressway Co Ltd	Country criteria breached	CHINA	2023 Q3
Jiangsu Phoenix Publishing & Media Corp., Ltd.	Country criteria breached	CHINA	2023 Q4
Jindal Stainless Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q3
JINDAL STEEL & POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Jinduicheng Molybdenum Co., Ltd. Class A	Country criteria breached	CHINA	2023 Q3
Jizhong Energy Resources Co Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2022 Q4
Joy Treasure Assets Holdings, Inc.	Country criteria breached	BRITISH VIRGIN	2023 Q3
JSW ENERGY LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
JSW Hydro Energy Ltd.	Thermal Coal Criteria Breached	KAZAKHSTAN	2024 Q3
JT International Financial Services BV	Tobacco Criteria Breached	UNITED STATES	2019 Q2
KazMunayGas NC JSC	Country criteria breached	KAZAKHSTAN	2023 Q3
Kelt Exploration Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
KGHM Polska Miedz SA	Thermal Coal Criteria Breached	POLAND	2021 Q1
Kimbell Royalty Partners LP	Shale energy Criteria Breached	UNITED STATES	2022 Q4
KINETIC MINES AND ENERGY LTD	Thermal Coal Criteria Breached	CHINA	2018 Q4
KKR & Co., Inc.	Thermal Coal Criteria Breached	UNITED STATES	2023 Q4
KKR Group Finance Co. V LLC	Thermal Coal Criteria Breached	LUXEMBOURG	2023 Q4

Kobe Steel Ltd	Thermal Coal Criteria Breached	JAPAN	2019 Q2
Korea East-West Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
Korea Electric Power Corporation	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q4
Korea Midland Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q4
Korea South-East Power Co., Ltd.	Thermal Coal Criteria Breached	SOUTH KOREA	2020 Q4
Korea Southern Power Co., Ltd.	Thermal Coal Criteria Breached	MACAU	2021 Q3
Korea Western Power Co., Ltd.	Thermal Coal Criteria Breached	MACAU	2019 Q2
KT&G Corporation	Tobacco Criteria Breached	SOUTH KOREA	2017 Q4
Kumho Petro Chemical Ltd	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
KunLun Energy Co. Ltd.	Country criteria breached	HONG KONG	2023 Q3
Kweichow Moutai Co Ltd	Country criteria breached	CHINA	2023 Q3
Kyushu Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2024 Q3
L&T Finance Holdings Limited	Controversial Weapons Criteria Breached	INDIA	2017 Q4
L&T Technology Services Ltd.	Global compact criteria breached and CWR Criteria Breached	INDIA	2019 Q4
L3Harris Technologies Inc.	Small Arms Criteria Breached	UNITED STATES	2020 Q3
Larsen & Toubro Infotech Limited	Controversial Weapons Criteria Breached	INDIA	2017 Q4
Larsen & Toubro Limited	Controversial Weapons Criteria Breached	INDIA	2017 Q4
LIG Nex1 Co Ltd	Controversial Weapons Criteria Breached	SOUTH KOREA	2019 Q2
Lockheed Martin Corp	Global compact criteria breached	UNITED STATES	2021 Q1
Logicor Financing SARL	Country criteria breached	LUXEMBOURG	2023 Q3
LUBELSKI WEGIEL BOGDANKA SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Lucid Group Inc	Country criteria breached	UNITED STATES	2023 Q3
Luenmei Quantum Co. Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q4
Luso International Banking Ltd.	Country criteria breached	HONG KONG	2023 Q4
Luzhou Laojiao Co Ltd	Country criteria breached	CHINA	2023 Q3
Magnolia Oil & Gas Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Magnolia Oil & Gas Operating LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Malakoff Corp Bhd	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
Mango Excellent Media Co Ltd	Country criteria breached	CHINA	2023 Q3
Manila Electric Co.	Thermal Coal Criteria Breached	PHILIPPINES	2021 Q2
Marathon Oil Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Marubeni Corp.	Thermal Coal Criteria Breached	JAPAN	2024 Q3
Matador Resources Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Mattel Inc	Global compact criteria breached	UNITED STATES	2022 Q1
MCC Holding (Hong Kong) Corp. Ltd.	Global compact criteria breached	HONG KONG	2020 Q4
MDU Resources Group Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
MEG Energy Corp.	Oil sands Criteria Breached	CANADA	2021 Q1
Mega Advance Investments Ltd.	Country criteria breached	HONG KONG	2023 Q4
MEGlobal Canada ULC	Country criteria breached	CAYMAN ISLANDS	2023 Q4
Mesaieed Petrochemical Holding Co	Country criteria breached	QATAR	2023 Q3
METALLURGICAL CORPORATION OF CHINA LTD.	Global compact criteria breached	CHINA	2019 Q3
MGE Energy Inc	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
MindTree Limited	Global compact criteria breached and CWR Criteria Breached	INDIA	2019 Q4
MISC Bhd	Country criteria breached	MALAYSIA	2023 Q3
MISC Capital Two (Labuan) Ltd.	Country Criteria Breached;	CAYMAN ISLANDS	2024 Q4
MITRABARA ADIPERDANA TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Mitsui & Co., Ltd.	Thermal Coal Criteria Breached	JAPAN	2024 Q3
MMC Corp Bhd	Thermal Coal Criteria Breached	MALAYSIA	2021 Q1
MMG Ltd.	Country Criteria Breached	AUSTRALIA	2024 Q3
Montage Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
MTR Corp. Ltd.	Country criteria breached	INDIA	2023 Q3
MTR Corporation (C.I.) Ltd.	Country criteria breached	INDIA	2023 Q3
Mueller Industries Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q2
Murphy Oil Corp.	Shale energy Criteria Breached	UNITED STATES	2024 Q3
MVM Energetika Zrt.	Thermal Coal Criteria Breached	POLAND	2024 Q3
NACCO INDUSTRIES-CL A	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
NAN NAN RESOURCES ENTERPRISE	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
NARI Technology Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
National Fuel Gas Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Nava Ltd.	Thermal Coal Criteria Breached	INDIA	2024 Q1
NEW HOPE CORP LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
New Wave Group AB	Small Arms Criteria Breached	SWEDEN	2023 Q4
New World China Land Ltd.	Thermal Coal Criteria breached	INDIA	2020 Q1
NEW WORLD DEVELOPMENT	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
NGD Holdings BV	Thermal Coal Criteria Breached	INDIA	2023 Q4
NHPC Limited	Country Criteria Breached	INDIA	2024 Q3
NISOURCE INC	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
NLC INDIA LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
NMDC Ltd.	Country Criteria Breached	INDIA	2024 Q3
Norinco International	Controversial Weapons (AFM)	CHINA	2013 Q2
Northern Oil & Gas Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Northrop Grumman Corporation	Small Arms Criteria and Controversial Weapons Criteria Breached	UNITED STATES	2018 Q4
NorthWestern Corp	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
NRG Energy Inc	Thermal Coal Criteria Breached	UNITED STATES	2023 Q1
NTPC Limited	Thermal Coal Criteria Breached & Country criteria breached	INDONESIA	2018 Q4
NuVista Energy Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
NWD Finance BVI Ltd.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2021 Q4

NWD MTN LTD	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
NWS HOLDINGS LTD	Thermal Coal Criteria Breached	HONG KONG	2018 Q4
Obsidian Energy Ltd.	Oil sands Criteria Breached	CANADA	2024 Q3
Occidental Petroleum Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
OCI Company Ltd	Thermal Coal Criteria Breached	SOUTH KOREA	2019 Q2
OCP S.A.	Global compact criteria breached	MOROCCO	2015 Q3
ODAS Elektrik Uretim ve Sanayi Ticaret AS	Thermal Coal Criteria Breached	TURKEY	Q2 2023
Offshore Oil Engineering Co Ltd	Country criteria breached	CHINA	2023 Q3
OGE Energy Corp.	Thermal Coal Criteria Breached	UNITED STATES	2024 Q3
Oil and Natural Gas Corporation Limited	Country criteria breached	INDIA	2023 Q4
Oil India International Pte Ltd.	Country criteria breached	INDIA	2023 Q3
Oil India Limited	Country criteria breached	INDIA	2023 Q3
Olin Corporation	Small Arms Criteria Breached	UNITED STATES	2018 Q4
ONGC VIDESH LTD	Global compact criteria breached	MEXICO	2007 Q4
Ongc Videsh Vankorneft Pte. Ltd.	Global compact criteria breached	INDONESIA	2008 Q4
Ooredoo International Finance Ltd.	Country criteria breached	INDIA	2023 Q3
Ooredoo QPSC	Country criteria breached	QATAR	2023 Q3
Origin Energy Finance Ltd.	Thermal Coal Criteria Breached	MEXICO	2023 Q1
Origin Energy Ltd	Thermal Coal Criteria Breached	AUSTRALIA	2023 Q1
ORLEN Capital AB	Thermal Coal Criteria Breached	MEXICO	2021 Q1
ORLEN SA	Thermal Coal Criteria Breached	MEXICO	2021 Q1
OTEL Sukuk Ltd.	Country Criteria Breached;	INDIA	2024 Q4
OTTER TAIL CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Ovintiv Canada ULC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ovintiv Exploration, Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ovintiv Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ovintiv Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Oztel Holdings SPC Ltd.	Country criteria breached	INDIA	2023 Q3
Paramount Resources Ltd.	Shale energy Criteria Breached	CANADA	2024 Q3
Parsley Energy Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Parsley Energy LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Parsley Energy LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Peabody Energy Corporation	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
Peabody Energy Corporation	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
People.cn Co., Ltd.	Country criteria breached	CHINA	2023 Q4
People's Insurance Co Group of China Ltd/The	Country criteria breached	CHINA	2023 Q3
Permian Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Permian Resources Operating LLC	Shale energy Criteria Breached	UNITED STATES	2021 Q4
Perusahaan Perseroan (Persero) PT Perusahaan Listrik Negara	Thermal Coal Criteria Breached & Country criteria breached	MEXICO	2018 Q4
PETROCHINA CO LTD -ADR	Global compact criteria breached	CHINA	2007 Q4
PETROLEOS MEXICANOS	Global compact criteria breached	SWEDEN	2013 Q1
Petronas Chemicals Group Bhd	Country criteria breached	MALAYSIA	2023 Q3
Petronas Dagangan Bhd	Country criteria breached	MALAYSIA	2023 Q3
Petronas Gas Bhd	Country criteria breached	MALAYSIA	2023 Q3
Peyto Exploration & Development Corp	Shale energy Criteria Breached	CANADA	2021 Q1
PGE SA	Thermal Coal Criteria Breached	POLAND	2018 Q4
PGE SWEDEN AB	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
PHA LAI THERMAL POWER JSC	Thermal Coal Criteria Breached	VIETNAM	2018 Q4
Philip Morris CR a.s.	Tobacco Criteria Breached	CZECH	2017 Q4
Philip Morris International Inc.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
PICC Property & Casualty Co Ltd	Country criteria breached	CHINA	2023 Q3
Pingdingshan Tianan Coal Mining Co., Ltd.	Thermal Coal Criteria Breached;	CHINA	2024 Q4
Pinnacle West Capital Corp.	Thermal Coal Criteria Breached;	UNITED STATES	2024 Q4
Pioneer Natural Resources Co.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
POLSKIE GORNICHTWO NAFTOWE I	Thermal Coal Criteria Breached	POLAND	2018 Q4
Poongsan Corporation	Small Arms Criteria and Controversial Weapons Criteria Breached	SOUTH KOREA	2007 Q4
Poongsan Holdings Corporation	Small Arms Criteria and Controversial Weapons Criteria Breached	SOUTH KOREA	2007 Q4
Postal Savings Bank of China Co Ltd	Country criteria breached	CHINA	2023 Q3
Power Assets Holdings Ltd.	Thermal Coal Criteria Breached	HONG KONG	2024 Q3
Power Construction Corp of China Ltd	Country criteria breached and Thermal Coal Criteria Breached	CHINA	2023 Q3
Power Finance Corp. Ltd.	Country criteria breached	INDIA	2023 Q3
Power Grid Corp of India Ltd	Country criteria breached	INDIA	2023 Q3
PPL CORP	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
PT ABM Investama Tbk	Thermal Coal Criteria breached	INDONESIA	2020 Q1
PT Adaro Indonesia	Thermal Coal Criteria Breached	INDONESIA	2020 Q2
PT Bank Mandiri (Persero) Tbk	Country criteria breached	INDONESIA	2023 Q3
PT Bank Negara Indonesia (Persero) Tbk	Country criteria breached	CAYMAN ISLANDS	2023 Q3
PT Bank Tabungan Negara (Persero) Tbk	Country criteria breached	CAYMAN ISLANDS	2023 Q4
PT Gudang Garam Tbk	Tobacco Criteria Breached	INDONESIA	2017 Q4
PT Hanjaya Mandala Sampoerna Tbk	Tobacco Criteria Breached	INDONESIA	2017 Q4
PT Pertamina Geothermal Energy Tbk	Country criteria breached	INDONESIA	2017 Q4
PT Perusahaan Gas Negara Tbk	Country criteria breached	CAYMAN ISLANDS	2023 Q4
PT Petrindo Jaya Kreasi Tbk	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2023 Q4
PT Trada Alam Minerera Tbk	Thermal Coal Criteria Breached	INDONESIA	2024 Q2
PT United Tractors Tbk	Thermal Coal Criteria Breached	INDONESIA	2020 Q2
Public Power Corp. SA	Thermal Coal Criteria Breached	INDONESIA	2024 Q3
Punjab National Bank	Thermal Coal Criteria Breached	GREECE	2024 Q1
	Country Criteria Breached	INDIA	2024 Q3

Pyxus International Inc	Tobacco Criteria Breached	UNITED STATES	2019 Q2
Qatar National Bank QPSC	Country criteria breached	QATAR	2023 Q3
QEP Resources Inc	Shale energy Criteria Breached	UNITED STATES	2021 Q1
QNB Finance Ltd.	Country criteria breached	TURKEY	2023 Q3
QNB Finansbank A.S.	Country Criteria Breached	QATAR	2023 Q3
Qtel International Finance Ltd.	Country criteria breached	INDIA	2023 Q3
Ramco Cements Ltd/The	Thermal Coal Criteria Breached	INDIA	2022 Q3
Range Resources Corp.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Ras Laffan Liquefied Natural Gas Co. Ltd.	Country criteria breached	INDIA	2023 Q3
RattanIndia Enterprises Ltd	Thermal Coal Criteria Breached	INDIA	2022 Q4
Raytheon Technologies Corp.	Small Arms Criteria Breached and Global compact criteria breached	BRITISH VIRGIN	2020 Q3
Raytheon Technologies Corp.	Small Arms Criteria Breached	UNITED STATES	2020 Q3
REC Limited	Country criteria breached	UAE	2023 Q3
Reinet Investments S.C.A.	Tobacco Criteria Breached	LUXEMBOURG	2018 Q4
RELIANCE POWER LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Resorts World Las Vegas LLC	Thermal Coal Criteria Breached	UNITED STATES	2024 Q2
RESOURCE ALAM INDONESIA TBK	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Richemont International Holding S.A.	Small Arms Criteria Breached	BRITISH VIRGIN	2019 Q2
Riley Exploration Permian, Inc.	Shale energy Criteria Breached	UNITED STATES	2023 Q4
Rio Tinto Ltd.	Thermal Coal Criteria Breached;	AUSTRALIA	2024 Q4
Rio Tinto Plc	Thermal Coal Criteria Breached;	BRITAIN	2024 Q4
Rockcliff Energy II LLC	Shale energy Criteria Breached	UNITED STATES	2024 Q3
Ruwais Power Co. PJSC	Country criteria breached and Thermal Coal Criteria Breached	NETHERLANDS	2023 Q3
RWE AG	Thermal Coal Criteria Breached;	BRITISH VIRGIN	2024 Q4
SA Global Sukuk Ltd.	Country criteria breached	PHILIPPINES	2023 Q4
SABIC Agri-Nutrients Co	Country criteria breached	SAUDI ARABIA	2023 Q3
SABIC Capital I BV	Global compact criteria breached	SAUDI ARABIA	2021 Q2
Sabic Capital II BV	Global compact criteria breached	SAUDI ARABIA	2021 Q1
SAIC Motor Corp Ltd	Country criteria breached	CHINA	2023 Q3
San Miguel Corp.	Thermal Coal Criteria Breached	SAUDI ARABIA	2021 Q1
SandRidge Energy Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
SARDA ENERGY & MINERALS LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Saudi Arabian Mining Co	Country criteria breached	SAUDI ARABIA	2023 Q3
Saudi Arabian Oil Co. (Aramco)	Global compact criteria breached	SAUDI ARABIA	2020 Q1
Saudi Aramco Base Oil Company - Luberef	Country criteria breached	SAUDI ARABIA	2023 Q3
Saudi Basic Industries Corp	Global compact criteria breached & Country criteria breached	SAUDI ARABIA	2021 Q1
Saudi Electricity Co	Country criteria breached	SAUDI ARABIA	2023 Q3
Saudi Electricity Global Sukuk Co. - 2	Country criteria breached	BRITISH VIRGIN	2023 Q3
Saudi Electricity Global Sukuk Co. - 3	Country criteria breached	BRITISH VIRGIN	2023 Q3
Saudi Electricity Global Sukuk Co. - 4	Country criteria breached	BRITISH VIRGIN	2023 Q3
Saudi Electricity Global Sukuk Co. - 5	Country criteria breached	BRITISH VIRGIN	2023 Q3
Saudi Electricity Sukuk Programme Co.	Country criteria breached	PHILIPPINES	2023 Q3
Saudi Tadawul Group Holding Co	Country criteria breached	SAUDI ARABIA	2023 Q3
Saudi Telecom Co	Country criteria breached	SAUDI ARABIA	2023 Q3
SBI Cards & Payment Services Ltd	Country criteria breached	INDIA	2023 Q3
SBI Life Insurance Co Ltd	Country criteria breached	INDIA	2023 Q3
Scandinavian Tobacco Group A/S	Tobacco Criteria Breached	DENMARK	2017 Q4
SDIC Power Holdings CO. LTD.	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2021 Q1
SDIC XINJI ENERGY CO -A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Sembcorp Industries Ltd.	Thermal Coal Criteria Breached	SINGAPORE	2024 Q3
Semen Indonesia Persero Tbk PT	Country criteria breached	INDONESIA	2023 Q3
SEMIRARA MINING AND POWER CO	Thermal Coal Criteria Breached	PHILIPPINES	2018 Q4
Seven Generations Energy Ltd.	Shale energy Criteria Breached	CANADA	2021 Q1
Shaanxi Coal Industry Co. Ltd.	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2021 Q1
Shan Xi Hua Yang Group New Energy Co. Ltd. ('YANGQUAN COAL INDU	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2018 Q4
Shandong Chenming Paper Holdings Ltd	Thermal Coal Criteria Breached	CHINA	Q2 2023
Shandong Gold Mining Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Shanghai Baosight Software Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Shanghai Electric Group Co Ltd	Country criteria breached	CHINA	2023 Q3
Shanghai Electric Power Co. Ltd.	Thermal Coal Criteria Breached	CHINA	2020 Q2
Shanghai Electric Power Finance Limited	Thermal Coal Criteria Breached	INDIA	2019 Q2
Shanghai Lingang Holdings Corp Ltd	Country criteria breached	CHINA	2023 Q3
Shanghai Lujiazui Finance & Trade Zone Development Co Ltd	Country criteria breached	CHINA	2023 Q3
Shanghai Zhangjiang Hi-Tech Park Development Co., Ltd.	Country Criteria Breached	CHINA	2024 Q3
Shanxi Coal International Energy Group Co., Ltd. Class A	Country criteria breached and Thermal Coal Criteria Breached	CHINA	2023 Q3
SHANXI COKING CO LTD-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Shanxi Lu'an Environmental Energy Development Co Ltd	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2021 Q1
Shanxi Taigang Stainless Steel Co Ltd	Country criteria breached	CHINA	2023 Q3
Shanxi Xinghuacun Fen Wine Factory Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
SHANXI XISHAN COAL & ELEC-A	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2018 Q4
Shenergy Co. Ltd.	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2021 Q1
Shennan Circuits Co Ltd	Country criteria breached	CHINA	2023 Q3
Shenzhen Energy Group Co. Ltd.	Thermal Coal Criteria Breached	CHINA	2021 Q1
Shikoku Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2024 Q3
Shree Cement Ltd	Thermal Coal Criteria Breached	INDIA	2023 Q1
Sichuan Chuantou Energy Co Ltd	Country criteria breached	CHINA	2023 Q3
Sichuan Road and Bridge Group Co Ltd	Country criteria breached	CHINA	2023 Q3

SilverBow Resources Inc	Shale energy Criteria Breached	UNITED STATES	2022 Q4
Sime Darby Plantation Berhad	Country criteria breached	MALAYSIA	2023 Q4
Sinochem Offshore Capital Co. Ltd.	Country criteria breached	UNITED STATES	2023 Q3
Sinopec Capital (2013) Ltd.	Global compact criteria breached	INDIA	2007 Q4
Sinopec Capital (2013) Ltd.	Global compact criteria breached	INDIA	2007 Q4
SINOPEC Engineering (Group) Co., Ltd.	Global Compact Criteria Breached	CHINA	2024 Q2
SINOPEC GRP OVERSEA 2013	Global compact criteria breached	UNITED STATES	2007 Q4
SINOPEC GRP OVERSEA 2015	Global compact criteria breached	UNITED STATES	2007 Q4
SINOPEC KANTONS HOLDINGS	Global compact criteria breached	HONG KONG	2007 Q4
Sinopec Shanghai Petrochemical Co Ltd	Country criteria breached	CHINA	2023 Q3
Sinotruk Hong Kong Ltd.	Country criteria breached	CHINA	2023 Q4
Sitio Royalties Corp	Shale energy Criteria Breached	UNITED STATES	Q2 2023
Sitio Royalties Operating Partnership LP	Shale energy Criteria Breached;	UNITED STATES	2024 Q4
SM Energy Co	Shale energy Criteria Breached	UNITED STATES	2021 Q1
SMC Global Power Holdings Corp.	Thermal Coal Criteria Breached	BRITISH VIRGIN	2020 Q2
Smooere International Holdings Ltd.	Tobacco Criteria Breached;	CHINA	2024 Q4
S-Oil Corp	Global compact criteria breached & Country criteria breached	SOUTH KOREA	2021 Q4
Southwest Securities Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Southwestern Energy Co.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Sportsman's Warehouse Holdings Inc	Small Arms Criteria Breached	UNITED STATES	2021 Q2
State Bank of India	Country criteria breached	BRITISH VIRGIN	2023 Q3
State Bank of India	Country criteria breached	INDIA	2023 Q3
State Elite Global Ltd.	Country criteria breached	MALAYSIA	2023 Q3
State Grid Overseas Investment BVI Ltd.	Country criteria breached	CZECH	2023 Q3
STC Sukuk Co. Ltd.	Country Criteria Breached	CAYMAN ISLANDS	2024 Q2
Strathcona Resources Ltd. (Old)	Shale energy Criteria Breached	CANADA	2024 Q2
Sturm Ruger & Company Inc.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Sumitomo Chemical Company Limited	Thermal Coal Criteria Breached	JAPAN	2019 Q2
Sumitomo Corp.	Thermal Coal Criteria Breached	JAPAN	2024 Q1
Suncor Energy Inc.	Oil sands Criteria Breached	CANADA	2021 Q1
Sunpower Group Ltd	Thermal Coal Criteria Breached	CHINA	2021 Q4
Surge Energy Inc	Shale energy Criteria Breached	CANADA	2022 Q4
Swedish Match	Tobacco Criteria Breached	SWEDEN	2017 Q4
Sweihan PV Power Co.	Country criteria breached and Thermal Coal Criteria Breached	CAYMAN ISLANDS	2023 Q3
Syngenta Finance NV	Country criteria breached	GERMANY	2023 Q3
Taiwan Cement Corp.	Thermal Coal Criteria Breached	TAIWAN	2019 Q2
Talen Energy Supply LLC	Thermal Coal Criteria Breached	UNITED STATES	2021 Q2
Talen Energy Supply LLC	Thermal Coal Criteria Breached	UNITED STATES	2021 Q2
Talent Yield (Euro) Ltd.	Country criteria breached	GERMANY	2023 Q3
Talent Yield International Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q4
Tamarack Valley Energy Ltd	Shale energy Criteria Breached	CANADA	2022 Q2
Tangshan Jidong Cement Co Ltd	Country criteria breached	CHINA	2023 Q3
Tata Chemicals Ltd	CWR Criteria Breached	INDIA	2023 Q1
Tata Communications Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Tata Consultancy Services Ltd.	CWR Criteria Breached and Global compact criteria breached	INDIA	2021 Q1
Tata Consumer Products Ltd	CWR Criteria Breached	INDIA	2023 Q1
Tata Elxsi Ltd.	CWR Criteria Breached	INDIA	2021 Q1
Tata Investment Corp. Ltd.	CWR Criteria Breached and Global compact criteria breached	INDIA	2021 Q1
Tata Motors Ltd.	CWR Criteria Breached	CAYMAN ISLANDS	2021 Q1
TATA POWER CO LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Tata Steel Ltd	CWR Criteria Breached Thermal Coal Criteria Breached	INDIA	2021 Q1
Tata Teleservices Maharashtra Ltd	CWR Criteria Breached	INDIA	2022 Q2
TAURON Polska Energia S.A.	Thermal Coal Criteria Breached	GERMANY	2018 Q4
Taurus Armas SA	Small Arms Criteria Breached	BRAZIL	2022 Q4
TBEA Co., Ltd.	Thermal Coal Criteria Breached;	CHINA	2024 Q4
Tecpetrol SA	Shale energy Criteria Breached	CAYMAN ISLANDS	2021 Q3
Teine Energy Ltd.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Telecommunications Services of Trinidad & Tobago Ltd.	Country criteria breached	CAYMAN ISLANDS	2023 Q4
Telkom Indonesia Persero Tbk PT	Country criteria breached	INDONESIA	2023 Q3
Tellurian Inc.	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Tenaga Nasional Bhd	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2021 Q1
Tencent Holdings Ltd.	Global compact criteria breached	CAYMAN ISLANDS	2022 Q4
Tencent Music Entertainment Group	Global compact criteria breached	TURKEY	2022 Q4
Terracom Ltd	Thermal Coal Criteria Breached	AUSTRALIA	Q2 2023
The AES Corp.	Thermal Coal Criteria Breached	UNITED STATES	2024 Q3
The Chugoku Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2024 Q2
The Hub Power Co. Ltd.	Thermal Coal Criteria Breached	PAKISTAN	2021 Q1
The Israel Electric Corp. Ltd.	Thermal Coal Criteria Breached	TURKEY	2021 Q1
The Okinawa Electric Power Co. Inc.	Thermal Coal Criteria Breached	JAPAN	2021 Q1
The Southern Co.	Thermal Coal Criteria Breached	GERMANY	2024 Q3
Three Gorges Finance II (Cayman Islands) Ltd.	Country criteria breached	BRITAIN	2023 Q3
Thungela Resources Ltd	Thermal Coal Criteria Breached	SOUTH AFRICA	2022 Q1
Tiandi Science & Technology Co., Ltd.	Country Criteria Breached	CHINA	2024 Q3
Titan Co. Ltd.	CWR Criteria Breached	INDIA	2021 Q1
TNB Global Ventures Capital Bhd.	Thermal Coal Criteria Breached	CAYMAN ISLANDS	2021 Q1
TOBA BARA SEJAHTRA TBK PT	Thermal Coal Criteria Breached	INDONESIA	2018 Q4
Tohoku Electric Power Co., Inc.	Thermal Coal Criteria Breached	JAPAN	2024 Q3

TOKYO ELECTRIC POWER COMPANY	Global compact criteria breached	JAPAN	2011 Q3
TOP ENERGY CO LTD SHANXI-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Topaz Energy Corp.	Shale energy Criteria Breached	CANADA	2024 Q3
Tourmaline Oil Corp	Shale energy Criteria Breached	CANADA	2023 Q3
TPI Polene Power Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
TPI Polene Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
Trent Ltd	Controversial Weapons Criteria Breached	INDIA	2021 Q2
Türk Telekomunikasyon A.S.	Country criteria breached	CAYMAN ISLANDS	2023 Q4
Türkiye Vakıflar Bankası TAO	Country criteria breached	BRAZIL	2023 Q3
Turning Point Brands	Tobacco Criteria Breached	UNITED STATES	2018 Q4
Ube Industries Ltd.	Thermal Coal Criteria Breached	JAPAN	2019 Q4
Union Bank of India	Country Criteria Breached	INDIA	2023 Q3
Uniper SE	Thermal Coal Criteria Breached	GERMANY	2021 Q1
Unitech Limited	Global compact criteria breached	INDIA	2017 Q3
UNIVERSAL COAL PLC - CDI	Thermal Coal Criteria Breached	BRITAIN	2018 Q4
Universal Corp	Tobacco Criteria Breached	UNITED STATES	2019 Q2
Vale Overseas Limited	Global compact criteria breached	CAYMAN ISLANDS	2019 Q2
Vale S.A.	Global compact criteria breached	UNITED STATES	2019 Q2
Vector Group Ltd.	Tobacco Criteria Breached	UNITED STATES	2017 Q4
VEDANTA LTD	Thermal Coal Criteria Breached	INDIA	2018 Q4
Vedanta Resources PLC	Thermal Coal Criteria Breached	MALAYSIA	2018 Q4
Viper Energy Partners LP	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Vista Outdoor Inc	Small Arms Criteria Breached	UNITED STATES	2018 Q4
Vistra Corp.	Thermal Coal Criteria Breached;	UNITED STATES	2024 Q4
Vistra Operations Co. LLC	Thermal Coal Criteria Breached;	UNITED STATES	2024 Q4
Vital Energy (formerly known as Laredo Petroleum Inc)	Shale energy Criteria Breached	UNITED STATES	2021 Q1
Voltas Ltd.	CWR Criteria Breached	INDIA	2021 Q1
VST Industries Ltd	Tobacco Criteria Breached	INDIA	Q2 2023
Walmart Inc.	Small Arms Criteria Breached	UNITED STATES	2018 Q4
WEC Energy Group Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Weibo Corp.	Global compact criteria breached	SAUDI ARABIA	2022 Q4
WESCOAL HOLDINGS LTD	Thermal Coal Criteria Breached	SOUTH AFRICA	2018 Q4
Westmoreland Coal Company	Thermal Coal Criteria Breached	UNITED STATES	2018 Q4
WHA Corp. Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q3
WHA Utilities & Power Public Co. Ltd.	Thermal Coal Criteria Breached	THAILAND	2021 Q1
WHITEHAVEN COAL LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
Whiting Petroleum Corp	Shale energy Criteria Breached	UNITED STATES	2021 Q4
WINTIME ENERGY CO LTD-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Wuhan Guide Infrared Co Ltd	Small Arms Criteria Breached	CHINA	2023 Q1
Wuliangye Yibin Co Ltd	Country criteria breached	CHINA	2023 Q3
WW Grainger Inc	Small Arms Criteria Breached	UNITED STATES	2022 Q1
Xcel Energy Inc.	Thermal Coal Criteria Breached	UNITED STATES	2021 Q1
Xinjiang Zhongtai Chemical Co Ltd	Global compact criteria breached	CHINA	2022 Q4
XXL ASA	Small Arms Criteria Breached	NORWAY	2018 Q4
Yanbu National Petrochemical Co	Country criteria breached	SAUDI ARABIA	2023 Q3
YANCOAL AUSTRALIA LTD	Thermal Coal Criteria Breached	AUSTRALIA	2018 Q4
Yancoal International Resources Development Co., Ltd.	Thermal Coal Criteria Breached	SAUDI ARABIA	2019 Q2
Yankuang Energy Group Co., Ltd. (YANZHOU COAL MINING CO LTD)	Thermal Coal Criteria Breached & Country criteria breached	CHINA	2019 Q2
Yixin Group Ltd	Global compact criteria breached	CHINA	2022 Q4
ZESPOL ELEKTROWNI PATNOW ADA	Thermal Coal Criteria Breached	POLAND	2018 Q4
Zhangzhou Pientzhuang Pharmaceutical Co Ltd	Country criteria breached	CHINA	2023 Q3
Zhejiang China Commodities City Group Co Ltd	Country criteria breached	CHINA	2023 Q3
Zhejiang Expressway Co., Ltd.	Country criteria breached	TURKEY	2023 Q3
Zhejiang Juhua Co Ltd	Country criteria breached	CHINA	2023 Q3
ZHEJIANG ZHENENG ELECTRIC-A	Thermal Coal Criteria Breached	CHINA	2018 Q4
Zheshang Securities Co., Ltd.	Country Criteria Breached	CHINA	2024 Q2
Zhongtai Securities Co Ltd	Country criteria breached	CHINA	2023 Q3

Source: Sustainalytics

Government bonds

Afghanistan
 Åland Islands
 Algeria
 American Samoa
 Andorra
 Angola
 Anguilla
 Antarctica
 Antigua and Barbuda
 Aruba
 Azerbaijan
 Bahamas (the)
 Bahrain
 Bangladesh
 Barbados
 Belarus

Reason for Exclusion

Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (classification is below minimum threshold)
 Country criteria breached (no classification)
 Country criteria breached (classification is below minimum threshold)

Belize	Country criteria breached (no classification)
Benin	Country criteria breached (classification is below minimum threshold)
Bermuda	Country criteria breached (no classification)
Bhutan	Country criteria breached (no classification)
Bolivia (Plurinational State of)	Country criteria breached (classification is below minimum threshold)
Bonaire, Sint Eustatius and Saba	Country criteria breached (no classification)
Botswana	Country criteria breached (classification is below minimum threshold)
Bouvet Island	Country criteria breached (no classification)
British Indian Ocean Territory (the)	Country criteria breached (no classification)
Brunei Darussalam	Country criteria breached (no classification)
Burkina Faso	Country criteria breached (classification is below minimum threshold)
Burundi	Country criteria breached (classification is below minimum threshold)
Cambodia	Country criteria breached (classification is below minimum threshold)
Cameroon	Country criteria breached (classification is below minimum threshold)
Cayman Islands (the)	Country criteria breached (no classification)
Central African Republic (the)	Country criteria breached (no classification)
Chad	Country criteria breached (classification is below minimum threshold)
China	Country criteria breached (classification is below minimum threshold)
Christmas Island	Country criteria breached (no classification)
Cocos (Keeling) Islands (the)	Country criteria breached (no classification)
Comoros (the)	Country criteria breached (no classification)
Congo (the Democratic Republic of the)	Country criteria breached (classification is below minimum threshold)
Congo (the)	Country criteria breached (classification is below minimum threshold)
Cook Islands (the)	Country criteria breached (no classification)
Côte d'Ivoire	Country criteria breached (classification is below minimum threshold)
Cuba	Country criteria breached (classification is below minimum threshold)
Curaçao	Country criteria breached (no classification)
Djibouti	Country criteria breached (classification is below minimum threshold)
Dominica	Country criteria breached (no classification)
Ecuador	Country criteria breached (classification is below minimum threshold)
Egypt	Country criteria breached (classification is below minimum threshold)
Equatorial Guinea	Country criteria breached (no classification)
Eritrea	Country criteria breached (no classification)
Eswatini	Country criteria breached (classification is below minimum threshold)
Ethiopia	Country criteria breached (classification is below minimum threshold)
Falkland Islands (the) [Malvinas]	Country criteria breached (no classification)
Faroe Islands (the)	Country criteria breached (no classification)
Fiji	Country criteria breached (no classification)
French Guiana	Country criteria breached (no classification)
French Polynesia	Country criteria breached (no classification)
French Southern Territories (the)	Country criteria breached (no classification)
Gabon	Country criteria breached (classification is below minimum threshold)
Gambia (the)	Country criteria breached (classification is below minimum threshold)
Gibraltar	Country criteria breached (no classification)
Greenland	Country criteria breached (no classification)
Grenada	Country criteria breached (no classification)
Guadeloupe	Country criteria breached (no classification)
Guam	Country criteria breached (no classification)
Guernsey	Country criteria breached (no classification)
Guinea	Country criteria breached (classification is below minimum threshold)
Guinea-Bissau	Country criteria breached (no classification)
Haiti	Country criteria breached (classification is below minimum threshold)
Heard Island and McDonald Islands	Country criteria breached (no classification)
Holy See (the)	Country criteria breached (no classification)
Hong Kong	Country criteria breached (no classification)
India	Country criteria breached (classification is below minimum threshold)
Indonesia	Country criteria breached (classification is below minimum threshold)
Iran (Islamic Republic of)	Country criteria breached (classification is below minimum threshold)
Iraq	Country criteria breached (classification is below minimum threshold)
Isle of Man	Country criteria breached (no classification)
Jersey	Country criteria breached (no classification)
Jordan	Country criteria breached (classification is below minimum threshold)
Kazakhstan	Country criteria breached (classification is below minimum threshold)
Kiribati	Country criteria breached (no classification)
Korea (the Democratic People's Republic of)	Country criteria breached (no classification)
Kuwait	Country criteria breached (classification is below minimum threshold)
Kyrgyzstan	Country criteria breached (classification is below minimum threshold)
Lao People's Democratic Republic (the)	Country criteria breached (classification is below minimum threshold)
Lebanon	Country criteria breached (classification is below minimum threshold)
Lesotho	Country criteria breached (classification is below minimum threshold)
Liberia	Country criteria breached (classification is below minimum threshold)
Libya	Country criteria breached (no classification)
Liechtenstein	Country criteria breached (no classification)
Macao	Country criteria breached (no classification)
Madagascar	Country criteria breached (classification is below minimum threshold)
Malaysia	Country criteria breached (classification is below minimum threshold)
Maldives	Country criteria breached (no classification)

Mali	Country criteria breached (classification is below minimum threshold)
Marshall Islands (the)	Country criteria breached (no classification)
Martinique	Country criteria breached (no classification)
Mauritania	Country criteria breached (classification is below minimum threshold)
Mayotte	Country criteria breached (no classification)
Micronesia (Federated States of)	Country criteria breached (no classification)
Monaco	Country criteria breached (no classification)
Mongolia	Country criteria breached (classification is below minimum threshold)
Montserrat	Country criteria breached (no classification)
Morocco	Country criteria breached (classification is below minimum threshold)
Mozambique	Country criteria breached (classification is below minimum threshold)
Myanmar	Country criteria breached (classification is below minimum threshold)
Nauru	Country criteria breached (no classification)
Nepal	Country criteria breached (classification is below minimum threshold)
New Caledonia	Country criteria breached (no classification)
Nicaragua	Country criteria breached (classification is below minimum threshold)
Niger (the)	Country criteria breached (classification is below minimum threshold)
Nigeria	Country criteria breached (classification is below minimum threshold)
Niue	Country criteria breached (no classification)
Norfolk Island	Country criteria breached (no classification)
Northern Mariana Islands (the)	Country criteria breached (no classification)
Oman	Country criteria breached (classification is below minimum threshold)
Pakistan	Country criteria breached (classification is below minimum threshold)
Palau	Country criteria breached (no classification)
Palestine, State of	Country criteria breached (no classification)
Papua New Guinea	Country criteria breached (no classification)
Pitcairn	Country criteria breached (no classification)
Puerto Rico	Country criteria breached (no classification)
Qatar	Country criteria breached (classification is below minimum threshold)
Réunion	Country criteria breached (no classification)
Russian Federation (the)	Country criteria breached (classification is below minimum threshold)
Rwanda	Country criteria breached (classification is below minimum threshold)
Saint Barthélemy	Country criteria breached (no classification)
Saint Helena, Ascension and Tristan da Cunha	Country criteria breached (no classification)
Saint Kitts and Nevis	Country criteria breached (no classification)
Saint Lucia	Country criteria breached (no classification)
Saint Martin (French part)	Country criteria breached (no classification)
Saint Pierre and Miquelon	Country criteria breached (no classification)
Saint Vincent and the Grenadines	Country criteria breached (no classification)
Samoa	Country criteria breached (no classification)
San Marino	Country criteria breached (no classification)
Sao Tome and Principe	Country criteria breached (no classification)
Saudi Arabia	Country criteria breached (classification is below minimum threshold)
Seychelles	Country criteria breached (no classification)
Sierra Leone	Country criteria breached (classification is below minimum threshold)
Sint Maarten (Dutch part)	Country criteria breached (no classification)
Solomon Islands	Country criteria breached (no classification)
Somalia	Country criteria breached (no classification)
South Africa	Country criteria breached (classification is below minimum threshold)
South Georgia and the South Sandwich Islands	Country criteria breached (no classification)
South Sudan	Country criteria breached (no classification)
Sudan (the)	Country criteria breached (classification is below minimum threshold)
Svalbard, Jan Mayen	Country criteria breached (no classification)
Syrian Arab Republic (the)	Country criteria breached (no classification)
Tajikistan	Country criteria breached (classification is below minimum threshold)
Tanzania, the United Republic of	Country criteria breached (classification is below minimum threshold)
Timor-Leste	Country criteria breached (no classification)
Togo	Country criteria breached (classification is below minimum threshold)
Tokelau	Country criteria breached (no classification)
Tonga	Country criteria breached (no classification)
Trinidad and Tobago	Country criteria breached (classification is below minimum threshold)
Türkiye	Country criteria breached (classification is below minimum threshold)
Turkmenistan	Country criteria breached (no classification)
Turks and Caicos Islands (the)	Country criteria breached (no classification)
Tuvalu	Country criteria breached (no classification)
Uganda	Country criteria breached (classification is below minimum threshold)
United Arab Emirates (the)	Country criteria breached (classification is below minimum threshold)
United States Minor Outlying Islands (the)	Country criteria breached (no classification)
Uzbekistan	Country criteria breached (classification is below minimum threshold)
Vanuatu	Country criteria breached (no classification)
Venezuela (Bolivarian Republic of)	Country criteria breached (classification is below minimum threshold)
Viet Nam	Country criteria breached (classification is below minimum threshold)
Virgin Islands (British)	Country criteria breached (no classification)
Virgin Islands (U.S.)	Country criteria breached (no classification)
Wallis and Futuna	Country criteria breached (no classification)
Western Sahara	Country criteria breached (no classification)
Yemen	Country criteria breached (classification is below minimum threshold)



Zambia
Zimbabwe

Country criteria breached (classification is below minimum threshold)
Country criteria breached (classification is below minimum threshold)